September 30, 2016

VICE CHANCELLOR FOR RESEARCH
ASSOCIATE VICE CHANCELLOR FOR RESEARCH ADMINISTRATION
EXECUTIVE DIRECTOR, SPONSORED PROJECTS ADMINISTRATION
EXECUTIVE DIRECTOR, UCI APPLIED INNOVATION
DIRECTOR, INDUSTRY SPONSORED RESEARCH

RE: Delegation of Authority – Approval of Exceptions to Approved Indirect Cost Rates

The authorities granted to the President under Section 100.4(m) of the Standing Orders of the Regents regarding the negotiation and approval of indirect cost rates were delegated to the Executive Vice President–Chief Financial Officer by the President on June 19, 2015 (DA 2592).

The Executive Vice President–Chief Financial Officer redelegated to me on March 10, 2016 the authority only to approve the application of an indirect cost rate other than the “approved indirect cost rate” in the submission and acceptance of extramural contracts and grants. The “approved indirect cost rate” is the rate determined in accordance with 2 CFR 200 and reflected in the campus rate agreement, or another UCOP-established rate. This authority is not applicable to federal funding that is received directly or indirectly. For State of California funding, this authority is granted only for indirect cost rates above a threshold established by the Office of the President, and only for funds that are not covered by 2 CFR 200.

Any such application of an indirect cost rate other than the approved rate shall be in accordance with existing policy, practice, and UCOP guidance.

The same authority is redelegated to the Vice Chancellor for Research, the Associate Vice Chancellor for Research Administration, the Executive Director, Sponsored Projects Administration, the Executive Director, UCI Applied Innovation, and the Director, Industry Sponsored Research and may not be further redelegated.

This delegation of authority supersedes Chancellor Drake’s February 27, 2014 delegation of authority, IDA 553 and John Hemminger’s February 27, 2014 redelegation of authority, IDA 554.

Howard Gillman
Chancellor

C: Provost and Executive Vice Chancellor
   Assistant Vice Chancellor–Accounting and Fiscal Services
   Office of Campus Counsel
   Director–Internal Audit
   Manager–Administrative Policies & Procedures